

#3

"Express Mail" Mailing Label Number"

EK-981976977US

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE "EXPRESS MAIL POST OFFICE-TO-ADDRESSEE SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED BELOW AND IS ADDRESSED TO MAIL STOP PCT, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450

July 21, 2004  
DATE OF DEPOSIT  
Anita J. Galo  
SIGNATURE OF PERSON MAILING PAPER OR FEE  
Anita J. Galo  
NAME OF PERSON SIGNING  
07/21/2004  
DATE OF SIGNATURE

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : John J. Strzempko et al.  
Serial No. : TBD  
Filing Date : Concurrently Herewith  
International Appln. No. : PCT/US03/02084  
International Appln. Filing Date : 24 January 2003  
Priority Date : 25 January 2002  
For : APPARATUS FOR  
TRANSPORTING LABORATORY  
ANIMALS  
Attorney Docket No. : TRW(FAS)5993-1PCT/US  
Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

This information disclosure statement is being filed to fulfill the duty of candor and good faith toward the Patent and Trademark Office in accordance with 37 CFR §1.56.

A copy of the PTO Form 1449 and Foreign Patent Documents have been attached.

**U.S. PATENT DOCUMENTS**

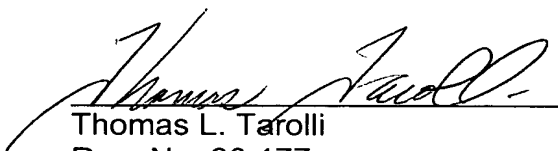
U.S. Patent No. 2,988,044	U.S. Patent No. 5,000,120
U.S. Patent No. 3,537,428	U.S. Patent No. 5,044,316
U.S. Patent No. 3,654,534	U.S. Patent No. 5,174,241
U.S. Patent No. 4,130,088	U.S. Patent No. 5,572,953
U.S. Patent No. 4,640,228	U.S. Patent No. 6,588,373
U.S. Patent No. 4,907,536	

**FOREIGN PATENT DOCUMENTS****Patent No.****Relevance**

EP 0 201 436 B1

See attached English Abstract.

Respectfully submitted,

  
Thomas L. Tarolli  
Reg. No. 20,177

TAROLLI, SUNDHEIM, COVELL,  
& TUMMINO L.L.P.  
526 Superior Avenue, Suite 1111  
Cleveland, Ohio 44114-1400  
Phone: (216) 621-2234  
Fax: (216) 621-4072  
Customer No.: 26,294

FORM PTO-1449  
(REV. 6-89)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.: TRW(FAS)5993-1PCT/US

SERIAL NO. TBD

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
(Use several sheets if necessary)

APPLICANT(S): John J. Strzempko et al.

FILING DATE: Concurrently Herewith

GROUP: TBD

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
A	US-2,988,044	Jun. 13, 1961	Adelberg et al.			
B	US-3,537,428	Nov. 3, 1970	Montgomery			
C	US-3,654,534	Apr. 4, 1972	Fischer			
D	US-4,130,088	Dec. 19, 1978	Salvia			
E	US-4,640,228	Feb. 3, 1987	Sedlacek et al.			
F	US-4,907,536	Mar. 13, 1990	Chrisler			
G	US-5,000,120	Mar. 19, 1991	Coiro, Sr. et al.			
H	US-5,044,316	Sep. 3, 1991	Thomas			
I	US-5,174,241	Dec. 29, 1992	Goguen et al.			
J	US-5,572,953	Nov. 12, 1996	Phelan et al.			
K	US-6,588,373	Jul. 8, 2003	Strzempko et al.			

**FOREIGN PATENT DOCUMENTS**

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
					YES	NO
L	EP-0 201 436 B1	03 Jan. 1990	EPO			
M						
N						
O						
P						
Q						
R						

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

S	
T	
U	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609;  
Draw line through citation if not in conformance and not considered. Include copy of this form with next  
communication to the patent applicants' attorney.